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Arrigo Arletti

FE 6138 (US)

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Basell USA Inc.

Delaware Corporate Center II

2 Righter Parkway, Suite #300

Wilmington, DE 19803

EXAMINER

JANCA, ANDREW JOSEPH

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/575,751	<b>Applicant(s)</b> ARLETTI ET AL.	
	<b>Examiner</b> Andrew Janca	<b>Art Unit</b> 1797	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 February 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) 15-23 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-23 are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Election/Restrictions***

1. Applicant's election with traverse of group I, claims 1-14 in the reply filed on 2/26/2009 is acknowledged. The traversal is on the ground(s) that the claims of group II are directed to an apparatus specifically designed for carrying out the process represented by the claims of group I, and hence there exists unity of invention. This is not found persuasive because the two claimed inventions of groups I and II lack a special technical feature since all their common technical features, which include in whole two stator-rotor devices each having rotors and stators and fluidly connected from a peripheral outlet of the first stator-rotor device to an axial inlet of the second, are known in the prior art as indicated in the restriction requirement.

The requirement is still deemed proper and is therefore made FINAL.

2. Claims 15-23 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 2/26/2009.

3. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

***Priority***

4. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

***Claim Rejections - 35 USC § 112***

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claim 5 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 5 recites the limitation "said inert and immiscible liquid". There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation

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under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

*Arletti, Hetherington, Povey*

9. Claims 1-5 and 7-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 2003/0096699 A1 by Arletti et al in view of US 2,461,276 to Hetherington and US 1,489,786 to Povey et al.

10. With regard to claim 1, Arletti et al teach a multistage process for the continuous production of an emulsion, the process comprising subjecting at least two immiscible liquids (para 26) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices 1, 15 (figure 1) comprising at least one rotor blade 4, the at least one rotor blade having a peripheral velocity, wherein a peripheral outlet 14 from a first stator-rotor device is connected to an axial inlet 14 (axial since within the central portion of the stator-rotor device 15, its axial draft tube 20, in proximity to its rotor axis [figure 1]) in a successive stator-rotor device 15 by means of a duct 14 in which a Reynolds number  $Re_T$  inside said duct is higher than 5000 (para 24). Arletti et al teach that the mixing devices may be rotor-stator devices (para 35), but do not explicitly teach that they may be disks, nor that the peripheral velocity of each rotor of said stator-rotor devices may range from 5 to 60 m/s.

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- a. However, Hetherington teaches a multistage process for the continuous production of an emulsion (1:9-13), the process comprising subjecting at least two immiscible liquids (1:9-13, 5:56-63) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices comprising at least one rotor disk 51, 52, the at least one rotor disk having a peripheral velocity, wherein a peripheral outlet 64 from a first stator-rotor device 51 is connected to an axial inlet 65 in a successive stator-rotor device 52 by means of a duct (figure II).
- b. Further, Povey et al teach a multistage process for the continuous production of an emulsion (1:11-14), the process comprising subjecting at least two immiscible liquids (else they would form a solution rather than an emulsion) to a sequence of at least two mixing stages (2:90-95) carried out in one stator-rotor device (2:65-75) comprising at least one rotor disk 3 (figure 1), the at least one rotor disk having a peripheral velocity, wherein a peripheral outlet 44 (2:1-2) from the stator-rotor device is connected to an axial inlet 37 (2:11-14) in the same stator-rotor device by means of a duct, and the peripheral velocity of each rotor of said stator-rotor devices ranges from 5 to 60 m/s (26 m/s: 2:32-33).
- c. Arletti et al, Hetherington, and Povey are analogous arts, being from the same field of endeavor, emulsifying immiscible liquids. At the time the invention was made, it would have been obvious to one of ordinary skill in the art to make the rotors of Arletti et al disks, as does Hetherington: the motivation would have been to enhance dispersion by shearing action between the disks and static

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parts, and maximize the pumping action from one rotor-stator device to another (Hetherington 2:47-52, 4:75-5:3); and to rotate the disks at a peripheral velocity of 5-60 m/s, as does Povey: the motivation would have been to choose a rotational speed appropriate to the materials being treated (Povey 2:76-82).

Alternatively, it would have been obvious to one of ordinary skill in the art to try rotating the disks of Arletti et al and Hetherington at peripheral velocities of 5-60 m/s, for routine experimentation to determine the optimal speed for a particular set of materials is within the ability of one of ordinary skill in the art (Povey 2:76-82). Alternatively, Povey et al teach that disks are an appropriate type of rotor for a rotor-stator arrangement such as that of Arletti et al, and further teach that the rotational speed is a variable desirable of optimization (Povey 2:76-82): and it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

11. The additional elements of claim 2, wherein said emulsion comprises, as a dispersed phase, a molten adduct of magnesium dihalide-Lewis base, are taught by Arletti et al (paras 17 and 22).

12. The additional elements of claim 3, wherein said emulsion comprises, as a continuous phase, a liquid which is inert and immiscible with said molten adduct of magnesium dihalide-Lewis base, are taught by Arletti et al (paras 17 and 26).

13. The additional elements of claim 4, wherein said inert and immiscible liquid is selected from aliphatic and aromatic hydrocarbons, silicone oils, liquid polymers or mixtures of said compounds, are taught by Arletti et al (para 26).

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14. The additional elements of claim 5, wherein said molten adduct of magnesium dihalide-Lewis base may be fed to said first stator-rotor device at a weight ratio of less than 0.5 with respect to said inert and immiscible liquid, are taught by Arletti et al (para 50).

15. The additional elements of claim 7, wherein the peripheral velocity of the at least one rotor disk is comprised in the range from 20 to 60 m/sec, are taught by Povey (2:32-33).

16. The additional elements of claim 8, wherein the Reynolds number  $Re_T$  inside said duct may be higher than 8000, are taught by Arletti et al (para 40).

17. The additional elements of claim 9, comprising a sequence of three mixing stages, are taught by Hetherington (figure II); and also by Povey (2:93).

18. The additional elements of claim 10, wherein said magnesium dihalide is magnesium chloride, are taught by Arletti et al (para 28).

19. The additional elements of claim 11, wherein said Lewis base is selected from amines, alcohols, esters, phenols, ethers, polyethers, aromatic or aliphatic (poly)carboxylic acids, are taught by Arletti et al (para 27).

20. The additional elements of claim 12, wherein said Lewis base is an alcohol of formula ROH, in which R is an alkyl group containing from 1 to 10 carbon atoms, are taught by Arletti et al (para 27).

21. The additional elements of claim 13, wherein the molten adduct is  $MgCl_2 \cdot mROH \cdot nH_2O$ , wherein  $m=0.1-6.0$ ,  $n=0-0.7$  and R=alkyl group  $C_1-C_{10}$ , are taught by Arletti et al (paras 27, 29).



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22. The additional elements of claim 14, wherein  $m=2.0-4.0$ ,  $n=0-0.4$  and R=ethyl group, are taught by Arletti et al (para 29).

*Povey and Arletti*

23. Claims 1-5 and 7-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 1,489,786 to Povey in view of US 2003/0096699 A1 by Arletti et al.

24. With regard to claim 1, Povey et al teach a multistage process for the continuous production of an emulsion (1:11-14), the process comprising subjecting at least two immiscible liquids (else they would form a solution rather than an emulsion) to a sequence of at least two mixing stages (2:90-95) carried out in one stator-rotor device (2:65-75) comprising at least one rotor disk 3 (figure 1), the at least one rotor disk having a peripheral velocity, wherein a peripheral outlet 44 (2:1-2) from the stator-rotor device is connected to an axial inlet 37 (2:11-14) in the same stator-rotor device by means of a duct, and the peripheral velocity of each rotor of said stator-rotor devices ranges from 5 to 60 m/s (26 m/s: 2:32-33). Povey does not teach a second stator-rotor device, connected to the first, having a Reynolds number inside the connecting duct higher than 5000.

d. However, Arletti et al teach a multistage process for the continuous production of an emulsion, the process comprising subjecting at least two immiscible liquids (para 26) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices 1, 15 (figure 1) comprising at least one rotor blade 4, the at least one rotor blade having a peripheral velocity,

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wherein a peripheral outlet 14 from a first stator-rotor device is connected to an axial inlet 14 (axial since within the central portion of the stator-rotor device 15, its axial draft tube 20, in proximity to its rotor axis [figure 1]) in a successive stator-rotor device 15 by means of a duct 14 in which a Reynolds number  $Re_T$  inside said duct is higher than 5000 (para 24).

e. Povey and Arletti et al are analogous arts, being from the same field of endeavor, emulsifying immiscible liquids. At the time the invention was made, it would have been obvious to one of ordinary skill in the art, given the teaching of Povey to pass the effluent emulsion from a peripheral outlet of his rotor-stator disk back into the axial inlet of the same disk to improve the emulsive effect, to pass the same emulsion of Povey through two separate rotor-stator devices instead, as do Arletti et al: the motivation would have been to avoid the doubling of production time necessitated by using the same device twice; or alternatively, such would have been a duplication of parts obvious to one of ordinary skill in the art (see *In re Harza*, 274 F.2d 669, 124 USPQ 378 [CCPA 1960]); and to choose the dimensions of the connecting duct and/or the speed or pressure of the fluid passed through, such that the Reynolds number is higher than 5000: for Arletti et al teach the Reynolds number in the duct as a variable desirable of optimization (Arletti et al para 40), and it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

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25. The additional elements of claim 2, wherein said emulsion comprises, as a dispersed phase, a molten adduct of magnesium dihalide-Lewis base, are taught by Arletti et al (paras 17 and 22).

26. The additional elements of claim 3, wherein said emulsion comprises, as a continuous phase, a liquid which is inert and immiscible with said molten adduct of magnesium dihalide-Lewis base, are taught by Arletti et al (paras 17 and 26).

27. The additional elements of claim 4, wherein said inert and immiscible liquid is selected from aliphatic and aromatic hydrocarbons, silicone oils, liquid polymers or mixtures of said compounds, are taught by Arletti et al (para 26).

28. The additional elements of claim 5, wherein said molten adduct of magnesium dihalide-Lewis base may be fed to said first stator-rotor device at a weight ratio of less than 0.5 with respect to said inert and immiscible liquid, are taught by Arletti et al (para 50).

29. The additional elements of claim 7, wherein the peripheral velocity of the at least one rotor disk is comprised in the range from 20 to 60 m/sec, are taught by Povey (2:32-33).

30. The additional elements of claim 8, wherein the Reynolds number  $Re_T$  inside said duct may be higher than 8000, are taught by Arletti et al (para 40).

31. The additional elements of claim 9, comprising a sequence of three mixing stages, are taught by Povey (2:93).

32. The additional elements of claim 10, wherein said magnesium dihalide is magnesium chloride, are taught by Arletti et al (para 28).

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33. The additional elements of claim 11, wherein said Lewis base is selected from amines, alcohols, esters, phenols, ethers, polyethers, aromatic or aliphatic (poly)carboxylic acids, are taught by Arletti et al (para 27).

34. The additional elements of claim 12, wherein said Lewis base is an alcohol of formula ROH, in which R is an alkyl group containing from 1 to 10 carbon atoms, are taught by Arletti et al (para 27).

35. The additional elements of claim 13, wherein the molten adduct is  $\text{MgCl}_2 \cdot m\text{ROH} \cdot n\text{H}_2\text{O}$ , wherein  $m=0.1-6.0$ ,  $n=0-0.7$  and  $R=\text{alkyl group } C_1-C_{10}$ , are taught by Arletti et al (paras 27, 29).

36. The additional elements of claim 14, wherein  $m=2.0-4.0$ ,  $n=0-0.4$  and  $R=\text{ethyl group}$ , are taught by Arletti et al (para 29).

*Ferraris, Hetherington, Povey*

37. Claims 1-5 and 7-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 4,469,648 to Ferraris et al in view of US 2,461,276 to Hetherington and US 1,489,786 to Povey et al.

38. With regard to claim 1, Ferraris et al teach a multistage process for the continuous production of an emulsion, the process comprising subjecting at least two immiscible liquids (1:67-2:1) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices 20, 40 (figure 1) comprising at least one rotor blade 16, the at least one rotor blade having a peripheral velocity, wherein a peripheral outlet 30 from a first stator-rotor device is connected to an axial inlet 30A in a

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successive stator-rotor device 40 by means of a duct 30-30A in which a Reynolds number  $Re_T$  inside said duct is higher than 3000 (2:14-15). Ferraris et al do not teach that the rotor-stator devices may be disks, nor that the peripheral velocity of each rotor of said stator-rotor devices may range from 5 to 60 m/s, nor that the Reynolds number inside the duct may be higher than 5000.

f. However, Hetherington teaches a multistage process for the continuous production of an emulsion (1:9-13), the process comprising subjecting at least two immiscible liquids (1:9-13, 5:56-63) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices comprising at least one rotor disk 51, 52, the at least one rotor disk having a peripheral velocity, wherein a peripheral outlet 64 from a first stator-rotor device 51 is connected to an axial inlet 65 in a successive stator-rotor device 52 by means of a duct (figure II).

g. Further, Povey et al teach a multistage process for the continuous production of an emulsion (1:11-14), the process comprising subjecting at least two immiscible liquids (else they would form a solution rather than an emulsion) to a sequence of at least two mixing stages (2:90-95) carried out in one stator-rotor device (2:65-75) comprising at least one rotor disk 3 (figure 1), the at least one rotor disk having a peripheral velocity, wherein a peripheral outlet 44 (2:1-2) from the stator-rotor device is connected to an axial inlet 37 (2:11-14) in the same stator-rotor device by means of a duct, and the peripheral velocity of each rotor of said stator-rotor devices ranges from 5 to 60 m/s (26 m/s: 2:32-33).

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h. Ferraris et al, Hetherington, and Povey are analogous arts, being from the same field of endeavor, emulsifying immiscible liquids. At the time the invention was made, it would have been obvious to one of ordinary skill in the art to make the rotors of Ferraris et al disks, as does Hetherington: the motivation would have been to enhance dispersion by shearing action between the disks and static parts, and maximize the pumping action from one rotor-stator device to another (Hetherington 2:47-52, 4:75-5:3); and to rotate the disks at a peripheral velocity of 5-60 m/s, as does Povey: the motivation would have been to choose a rotational speed appropriate to the materials being treated (Povey 2:76-82). Alternatively, it would have been obvious to one of ordinary skill in the art to try rotating the disks of Ferraris et al and Hetherington at peripheral velocities of 5-60 m/s, for routine experimentation to determine the optimal speed for a particular set of materials is within the ability of one of ordinary skill in the art (Povey 2:76-82). Alternatively, Povey et al teach that disks are an appropriate type of rotor for a rotor-stator arrangement such as that of Ferraris et al, and further teach that the rotational speed is a variable desirable of optimization (Povey 2:76-82): and it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable. Ferraris et al further teach that the linear speeds of the emulsion through the pipe, upon which the Reynolds number depends, is a variable desirable of optimization (2:37-66): it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

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39. The additional elements of claim 2, wherein said emulsion comprises, as a dispersed phase, a molten adduct of magnesium dihalide-Lewis base, are taught by Ferraris et al (3:19-25, 4:20-23).

40. The additional elements of claim 3, wherein said emulsion comprises, as a continuous phase, a liquid which is inert and immiscible with said molten adduct of magnesium dihalide-Lewis base, are taught by Ferraris et al (1:67-2:1).

41. The additional elements of claim 4, wherein said inert and immiscible liquid is selected from aliphatic and aromatic hydrocarbons, silicone oils, liquid polymers or mixtures of said compounds, are taught by Ferraris et al (3:39-41).

42. The additional elements of claim 5, wherein said molten adduct of magnesium dihalide-Lewis base may be fed to said first stator-rotor device at a weight ratio of less than 0.5 with respect to said inert and immiscible liquid, are taught by Ferraris et al (1:61-63).

43. The additional elements of claim 7, wherein the peripheral velocity of the at least one rotor disk is comprised in the range from 20 to 60 m/sec, are taught by Povey (2:32-33).

44. The additional elements of claim 8, wherein the Reynolds number  $Re_T$  inside said duct may be higher than 8000, are obvious over Ferraris et al, who teach that the linear speeds of the emulsion through the pipe, upon which the Reynolds number depends, is a variable desirable of optimization (2:37-66): it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

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45. The additional elements of claim 9, comprising a sequence of three mixing stages, are taught by Hetherington (figure II); and also by Povey (2:93).

46. The additional elements of claim 10, wherein said magnesium dihalide is magnesium chloride, are taught by Ferraris et al (3:19-25).

47. The additional elements of claim 11, wherein said Lewis base is selected from amines, alcohols, esters, phenols, ethers, polyethers, aromatic or aliphatic (poly)carboxylic acids, are taught by Ferraris et al (3:19-25).

48. The additional elements of claim 12, wherein said Lewis base is an alcohol of formula ROH, in which R is an alkyl group containing from 1 to 10 carbon atoms, are taught by Ferraris et al (4:15-16).

49. The additional elements of claim 13, wherein the molten adduct is  $MgCl_2 \cdot mROH \cdot nH_2O$ , wherein  $m=0.1-6.0$ ,  $n=0-0.7$  and R=alkyl group  $C_1-C_{10}$ , are taught by Ferraris et al (4:15-50).

50. The additional elements of claim 14, wherein  $m=2.0-4.0$ ,  $n=0-0.4$  and R=ethyl group, are taught by Ferraris et al (4:15-50).

*Povey and Ferraris*

51. Claims 1-5 and 7-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 1,489,786 to Povey in view of US 4,469,648 to Ferraris et al.

52. With regard to claim 1, Povey et al teach a multistage process for the continuous production of an emulsion (1:11-14), the process comprising subjecting at least two immiscible liquids (else they would form a solution rather than an emulsion) to a



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sequence of at least two mixing stages (2:90-95) carried out in one stator-rotor device (2:65-75) comprising at least one rotor disk 3 (figure 1), the at least one rotor disk having a peripheral velocity, wherein a peripheral outlet 44 (2:1-2) from the stator-rotor device is connected to an axial inlet 37 (2:11-14) in the same stator-rotor device by means of a duct, and the peripheral velocity of each rotor of said stator-rotor devices ranges from 5 to 60 m/s (26 m/s: 2:32-33). Povey does not teach a second stator-rotor device, connected to the first, having a Reynolds number inside the connecting duct higher than 5000.

i. However, Ferraris et al teach a multistage process for the continuous production of an emulsion, the process comprising subjecting at least two immiscible liquids (1:67-2:1) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices 20, 40 (figure 1) comprising at least one rotor blade 16, the at least one rotor blade having a peripheral velocity, wherein a peripheral outlet 30 from a first stator-rotor device is connected to an axial inlet 30A in a successive stator-rotor device 40 by means of a duct 30-30A in which a Reynolds number  $Re_T$  inside said duct is higher than 3000 (2:14-15).

j. Povey and Ferraris et al are analogous arts, being from the same field of endeavor, emulsifying immiscible liquids. At the time the invention was made, it would have been obvious to one of ordinary skill in the art, given the teaching of Povey to pass the effluent emulsion from a peripheral outlet of his rotor-stator disk back into the axial inlet of the same disk to improve the emulsive effect, to pass the same emulsion of Povey through two separate rotor-stator devices

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instead, as do Ferraris et al: the motivation would have been to avoid the doubling of production time necessitated by using the same device twice; or alternatively, such would have been a duplication of parts obvious to one of ordinary skill in the art (see *In re Harza*, 274 F.2d 669, 124 USPQ 378 [CCPA 1960]); and to choose the dimensions of the connecting duct and/or the speed or pressure of the fluid passed through, such that the Reynolds number is higher than 5000: for Ferraris et al teach that the linear speeds of the emulsion through the pipe, upon which the Reynolds number depends, is a variable desirable of optimization (2:37-66), and it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

53. The additional elements of claim 2, wherein said emulsion comprises, as a dispersed phase, a molten adduct of magnesium dihalide-Lewis base, are taught by Ferraris et al (3:19-25, 4:20-23).

54. The additional elements of claim 3, wherein said emulsion comprises, as a continuous phase, a liquid which is inert and immiscible with said molten adduct of magnesium dihalide-Lewis base, are taught by Ferraris et al (1:67-2:1).

55. The additional elements of claim 4, wherein said inert and immiscible liquid is selected from aliphatic and aromatic hydrocarbons, silicone oils, liquid polymers or mixtures of said compounds, are taught by Ferraris et al (3:39-41).

56. The additional elements of claim 5, wherein said molten adduct of magnesium dihalide-Lewis base may be fed to said first stator-rotor device at a weight ratio of less

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than 0.5 with respect to said inert and immiscible liquid, are taught by Ferraris et al (1:61-63).

57. The additional elements of claim 7, wherein the peripheral velocity of the at least one rotor disk is comprised in the range from 20 to 60 m/sec, are taught by Povey (2:32-33).

58. The additional elements of claim 8, wherein the Reynolds number  $Re_T$  inside said duct may be higher than 8000, are obvious over Ferraris et al, who teach that the linear speeds of the emulsion through the pipe, upon which the Reynolds number depends, is a variable desirable of optimization (2:37-66): it would have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

59. The additional elements of claim 9, comprising a sequence of three mixing stages, are taught by Povey (2:93).

60. The additional elements of claim 10, wherein said magnesium dihalide is magnesium chloride, are taught by Ferraris et al (3:19-25).

61. The additional elements of claim 11, wherein said Lewis base is selected from amines, alcohols, esters, phenols, ethers, polyethers, aromatic or aliphatic (poly)carboxylic acids, are taught by Ferraris et al (3:19-25).

62. The additional elements of claim 12, wherein said Lewis base is an alcohol of formula ROH, in which R is an alkyl group containing from 1 to 10 carbon atoms, are taught by Ferraris et al (4:15-16).

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63. The additional elements of claim 13, wherein the molten adduct is  $\text{MgCl}_2 \cdot m\text{ROH} \cdot n\text{H}_2\text{O}$ , wherein  $m=0.1-6.0$ ,  $n=0-0.7$  and  $R=\text{alkyl group } C_1-C_{10}$ , are taught by Ferraris et al (4:15-50).

64. The additional elements of claim 14, wherein  $m=2.0-4.0$ ,  $n=0-0.4$  and  $R=\text{ethyl group}$ , are taught by Ferraris et al (4:15-50).

*Prior cited and König*

65. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over US 2003/0096699 A1 by Arletti et al in view of US 2,461,276 to Hetherington and US 1,489,786 to Povey, and further in view of US 4,089,835 to König et al. Arletti et al, Hetherington, and Povey do not teach that in each mixing stage a residence time is of less than 1 second. However, König et al teach a multistage process for the continuous production of a dispersion or emulsion (2:34-43, 10:35-48), the process comprising subjecting at least two immiscible liquids (2:34-43) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices (10:35-48) comprising at least one rotor blade, the at least one rotor blade having a peripheral velocity (11:13-20); and further teach that the residence time in each mixing stage may be 1 second, and that the residence time is a variable desirable of optimization (10:35-48). It would have been obvious to one of ordinary skill in the art to make the residence time of the emulsion-mixing method of Arletti et al, Hetherington, and Povey on the order of 1 second, as do König et al: the motivation would have been to use the mixing method to enhance chemical reactions between species carried in the emulsion having short

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reaction times (König et al 10:35-48); and it would further have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

66. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over US 1,489,786 to Povey in view of US 2003/0096699 A1 by Arletti et al, and further in view of US 4,089,835 to König et al. Povey and Arletti et al do not teach that in each mixing stage a residence time is of less than 1 second. However, König et al teach a multistage process for the continuous production of a dispersion or emulsion (2:34-43, 10:35-48), the process comprising subjecting at least two immiscible liquids (2:34-43) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices (10:35-48) comprising at least one rotor blade, the at least one rotor blade having a peripheral velocity (11:13-20); and further teach that the residence time in each mixing stage may be 1 second, and that the residence time is a variable desirable of optimization (10:35-48). It would have been obvious to one of ordinary skill in the art to make the residence time of the emulsion-mixing method of Povey and Arletti et al on the order of 1 second, as do König et al: the motivation would have been to use the mixing method to enhance chemical reactions between species carried in the emulsion having short reaction times (König et al 10:35-48); and it would further have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

67. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over US 4,089,835 to Ferraris et al in view of US 2,461,276 to Hetherington and US 1,489,786 to Povey, and further in view of US 4,089,835 to König et al. Ferraris et al, Hetherington, and Povey do not teach that in each mixing stage a residence time is of less than 1

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second. However, König et al teach a multistage process for the continuous production of a dispersion or emulsion (2:34-43, 10:35-48), the process comprising subjecting at least two immiscible liquids (2:34-43) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices (10:35-48) comprising at least one rotor blade, the at least one rotor blade having a peripheral velocity (11:13-20); and further teach that the residence time in each mixing stage may be 1 second, and that the residence time is a variable desirable of optimization (10:35-48). It would have been obvious to one of ordinary skill in the art to make the residence time of the emulsion-mixing method of Ferraris et al, Hetherington, and Povey on the order of 1 second, as do König et al: the motivation would have been to use the mixing method to enhance chemical reactions between species carried in the emulsion having short reaction times (König et al 10:35-48); and it would further have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

68. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over US 1,489,786 to Povey in view of US 4,089,835 to Ferraris et al, and further in view of US 4,089,835 to König et al. Povey and Ferraris et al do not teach that in each mixing stage a residence time is of less than 1 second. However, König et al teach a multistage process for the continuous production of a dispersion or emulsion (2:34-43, 10:35-48), the process comprising subjecting at least two immiscible liquids (2:34-43) to a sequence of at least two mixing stages carried out in at least two successive stator-rotor devices (10:35-48) comprising at least one rotor blade, the at least one rotor blade having a peripheral velocity (11:13-20); and further teach that the residence time in

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each mixing stage may be 1 second, and that the residence time is a variable desirable of optimization (10:35-48). It would have been obvious to one of ordinary skill in the art to make the residence time of the emulsion-mixing method of Povey and Ferraris et al on the order of 1 second, as do König et al: the motivation would have been to use the mixing method to enhance chemical reactions between species carried in the emulsion having short reaction times (König et al 10:35-48); and it would further have been obvious to one of ordinary skill in the art to have optimized this result-effective variable.

### ***Conclusion***

69. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Janca whose telephone number is (571) 270-5550. The examiner can normally be reached on M-Th 8-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Walter Griffin can be reached on (571) 272-1447. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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AJJ

/DAVID L. SORKIN/  
Primary Examiner, Art Unit 1797